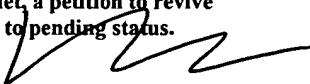


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| FORM PTO 1390<br>(REV 10-2003)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>61800(71526)        |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP03/04627   | INTERNATIONAL FILING DATE<br>11 April 2003 |   |  | 10 / 511111                                     |
| TITLE OF INVENTION NOVEL THROMBOMODULIN EXPRESSION PROMOTERS  |  |   |  | PRIORITY DATE CLAIMED<br>12 April 2002          |
| APPLICANT(S) FOR DO/EO/US Koji Oida   |  |   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))<br/>       a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br/>       b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br/>       c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).<br/>       a. <input checked="" type="checkbox"/> is attached hereto.<br/>       b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<br/>       a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br/>       b. <input type="checkbox"/> have been communicated by the International Bureau.<br/>       c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br/>       d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> |  |   |  |   |
| Items 11 to 20 below concern document(s) or information included:   |  |   |  |   |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard, PCT Request Form, Copy of Forms PCT/RO/105, PCT/ISA/202, PCT/IB/301, PCT/IB/304, PCT/IB/332, PCT/IPEA/401, PCT/IPEA/402, PCT/IPEA/408, PCT/IPEA/416, Published PCT Application No. WO 03/086466 A1</p>  |  |   |  |   |

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.45)<br><b>107511111</b>   |                     | INTERNATIONAL APPLICATION NO.<br>PCT/JP03/04627                         | ATTORNEY'S DOCKET NUMBER<br>61800(71526) |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:  |                     | <b>CALCULATIONS PTO USE ONLY</b>  |  |
| <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):</b>  |                     |   |  |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00<br><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 |                     |   |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |                     | \$ 950.00   |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |                     | \$  |  |
| <b>CLAIMS</b>  | <b>NUMBER FILED</b> | <b>NUMBER EXTRA</b>   | <b>RATE</b>                              |
| Total claims   | 9-20 =              | x   | \$ 0.00                                  |
| Independent claims   | 4-3 =               | 1   | \$ 88.00                                 |
| <b>MULTIPLE DEPENDENT CLAIM(s) (if applicable)</b>   |                     | +   | \$                                       |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |                     | \$ 1,038.00   |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$ .  |                     | \$  |  |
| <b>SUBTOTAL =</b>  |                     | \$ 1,038.00   |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).   |                     | \$  |  |
| <b>TOTAL NATIONAL FEE =</b>  |                     | \$ 1,038.00   |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |                     | +\$ 40.00   |  |
| <b>TOTAL FEES ENCLOSED =</b>   |                     | \$ 1,078.00   |  |
|  |                     | <b>Amount to be refunded:</b>   | \$                                       |
|  |                     | <b>charged:</b>   | \$                                       |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,078.00 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1105. A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.   |                     |   |  |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>   |                     |   |  |
| SEND ALL CORRESPONDENCE TO:  |                     |   |  |
| Peter F. Corless<br>EDWARDS & ANGELL, LLP<br>P.O. Box 55874<br>Boston, Massachusetts 02205<br>(617) 439-4444<br>CUSTOMER NUMBER: 21874   |                     | SIGNATURE:<br>Peter F. Corless<br>NAME<br>33,860<br>REGISTRATION NUMBER |  |

10/51111

DT04 Rec'd PCT/PTO 12 OCT 2004

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 61800(71526)

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV437821481US in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on 10/12/04  
Date

Susan M Dillon

Signature

Susan Dillon

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

IDS (Citation) by Applicant (7 Refs)